Paper dated February 5, 2007
Attorney Docket No. 0470-061191

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IMMUNEMODULATING OLIGOSACCHARIDES

Application No.

10/576,834

Applicants

Bernd STAHL et al.

Filed

April 24, 2006

Title

Not Yet Assigned

Group Art Unit

1751

Examiner

Confirmation No.

2278

Customer No.

28289

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08a-b and a copy of the foreign patent documents and articles listed thereon. The cited U.S. patents are not enclosed pursuant to the waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for U.S. national patent applications filed after June 30, 2003.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 5, 2007.

Bruce L. White

(Name of Person Mailing Paper or Fee)

Bruce J. White

O2/05/2007

Signature

Date

{W0288326.1}

1

Application No. 10/576,834
Paper dated February 5, 2007

Attorney Docket No. 0470-061191

This application is the United States national phase of PCT Application No.

PCT/NL2004/000750. The references listed on Form PTO/SB/08a-b were identified in the

International Search Report, dated May 25, 2005, for the underlying PCT Application or were

cited in the specification of the application. A copy of the International Search Report is

enclosed for the Examiner's convenience. The German reference DE 4223613 is relevant

because it describes a process for the preparation of unsaturated uronides from pectin-like

substances through anaerobic fermentation, using, e.g., pectate lyase. The preparation can be

used for the medical treatment of heavy metal intoxication.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due for the submission

of this Information Disclosure Statement, as it is being submitted before the mailing date of a

first Office Action on the merits. Nevertheless, the Commissioner for Patents is hereby

authorized to charge any fees which may be required to Deposit Account No. 23-0650. One (1)

original and two (2) copies of this Information Disclosure Statement are enclosed.

Respectfully submitted,

THE WEBB LAW FIRM

Bv

William H. Logsdon

Registration No. 51,027

Attorney for Applicants

700 Koppers Building

436 Seventh Avenue

Pittsburgh, Pennsylvania 15219

Telephone: 412-471-8815

Facsimile: 412-471-4094

E-mail: webblaw@webblaw.com

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO 10/576,834 **Application Number** INFORMATION DISCLOSURE Filing Date April 24, 2006 STATEMENT BY APPLICANT First Named Inventor Bernd STAHL 1751 Art Unit (Use as many sheets as necessary) Not Yet Assigned **Examiner Name** Attorney Docket Number 0470-061191 3 of Sheet

Examiner	Cite	Document Number		Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials*	No. ¹		- Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
	1.	US-	4,412,946	11/01/1983	ZALISZ et al.	<u> </u>
	2.	US-	6,573,245 B1	06/03/2003	MARCIANI	
	3.	US-	6,576,251 B1	06/10/2003	STAHL et al.	
	4.	US-	2003/0022863 A1	01/30/2003	STAHL et al.	

		FOREIGN	PATENT DO	CUMENTS	<u> </u>	
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Т ^б
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			or Relevant Figures Appear	Ļ-
	4.	DE 4223613 C2	01/20/1994	DORING et al.		
	5.	EP 0406685	01/09/1999	MAGERSTAEDT et al.		
	6.	FR 2781673	02/04/2000	JOSIANE et al.		
	7.	GB 1327740	08/22/1973	BILLIAU et al.		
	8.	JP 4300888	10/23/1992	TADAO et al.	·	
	9.	JP 58004724	01/11/1983	FUMIYASU et al.		
	10.	JP 62270532	11/24/1987	SHOZO et al.		
	11.	JP 6256208	09/13/1994	YASUKO et al.		
	12.	JP 09048732	02/18/1997	NOBORU et al.		
	13.	JP 8092303	04/09/1996	YASUKO et al.		
	14.	JP 09208474	08/12/1997	NOBORU et al.		

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/576,834 INFORMATION DISCLOSURE April 24, 2006 Filing Date STATEMENT BY APPLICANT First Named Inventor Bernd STAHL Art Unit (Use as many sheets as necessary) **Examiner Name** Not Yet Assigned 0470-061191 2 3 Attorney Docket Number of Sheet

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

		FOREIGN	PATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
	15.	KR 9711556B	07/12/1997	SANG-HONG et al.		
	16.	KR 2002094725	12/18/2002	YEONG SUK et al.		
	17.	WO 00/57727	10/05/2000	BIJLSMA et al.		
	18.	WO 01/33975 A1	05/17/2001	KILIAAN et al.		
,	19.	WO 01/60378 A2	08/23/2001	KOSTER et al.		
	20.	WO 02/47703 A2	06/20/2002	GRAUS et al.		
	21.	WO 02/042484 A3	05/30/2002	SUDZUCKER et al.		
	22.	WO 2005/039597 A3	05/06/2005	VAN WESTENBRUGGE et		
				al.		
				-		

Examiner	Date	
Signature	Cons	sidered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

ubstitute for for	m 1449B/PTO			Complete if Known		
				Application Number	10/576,834	
NFOR	MATION	V DISCLO	SURE	Filing Date	April 24, 2006	
STATEMENT BY APPLICANT				First Named Inventor	Bernd STAHL	
	Also so more sho			Art Unit	1751	
(Use as many sheets as necessary)				Examiner Name	Not Yet Assigned	
Sheet	3	of	3	Attorney Docket Number	0470-061191	

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	I ('ite I (hook magazine journal cerial composium catalog etc.) date page(c) volume_iccue number(c) nub							
	23.	DATABASE WPI, Section Ch, Week 198307, Derwent Publications Ltd., London, GB; Class A96, AN 1983-16545K, XP002277416, & JP 58 004724 A (Meiji Milk Prod Co LTD), 11 January 1983, Abstract						
	24.	DATABASE WPI, Section Ch, Week 200332, Derwent Publications Ltd., London, GB; Class B03, AN 2003-339110, XP002277417, & KR 2002094725 A (KT & G CO LTD), 82 December 2002, Abstract						
	25.	DATABASE WPI, Section Ch, Week 199249, Derwent Publications Ltd., London, GB; Class A96, AN 1992-403412, XP002277418, & JP 040300888A (Dainippon Ink & Chem KK), 23 October 1992, Abstract						
	26.	DATABASE WPI, Section Ch, Week 199441, Derwent Publications Ltd., London, GB; Class B04, AN 1994-329946, XP002277419, & JP 06 256208 A, (Food Design Gijutsu Kenkyu Kumiai), 9 April 1996, Abstract						
	27.	DATABASE WPI, Section Ch, Week 199624, Derwent Publications Ltd., London, GB; Class B04, AN 1996-236112, XP002277420, & JP 08 092303 A, (Showa Sangyo Co), 9 April 1996, Abstract						
	28.	DATABASE WPI, Derwent Publications Ltd., London, GB, XP002277425, KR19930028570, Yun Sang-Hong et al., Abstract						
	29.	DATABASE WPI, Derwent Publications Ltd., London, GB, XP009029650, 18, April 2001, Article						
	30.	DATABASE WPI, Derwent Publications Ltd., London, GB, XP00928863, 1991, Article						
		<u> </u>						

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

-- :

Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.